

## **The Space Infrared Telescope for Cosmology and Astrophysics: SPICA**

### **A joint mission between JAXA and ESA**

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## 1. Introduction and SPICA in Context.

Since the advent of observational astronomy in the Mid- and Far-Infrared MIR/FIR wavelength range with IRAS<sup>1</sup> and the KAO<sup>2</sup>, and after the success of the infrared space observatories ISO, Spitzer and AKARI<sup>3,4,5</sup>, it has become increasingly clear that we can only fully understand the evolution of the Universe and of all its constituent parts by observing the MIR/FIR emission from dust and gas. In order to properly observe between ~ 5 and 210  $\mu\text{m}$  it is necessary to use spaceborne infrared telescopes as ground based or even airborne observatories can only cover restricted waveband ranges at limited sensitivities. It is also necessary that any MIR/FIR observatory is cooled to temperatures at which the self-emission from the telescope and instruments does not overwhelm the signal from astronomical sources. To give a low ambient photon background across the whole MIR/FIR waveband requires a telescope temperature less than ~5 K, and all space observatories to date (IRAS, ISO, Spitzer and AKARI) have used liquid helium (LHe) to cool their telescopes and instruments to below ~5 K. This has necessitated the use of large quantities of liquid cryogen to cool the telescopes, resulting in aperture sizes of less than 1 metre. To date, therefore, our ability to characterise the cold and dusty Universe has been limited by poor spatial resolution and low sensitivity relative to other wavelength ranges, despite the development of highly sensitive detectors, especially in the 5-30  $\mu\text{m}$  band.

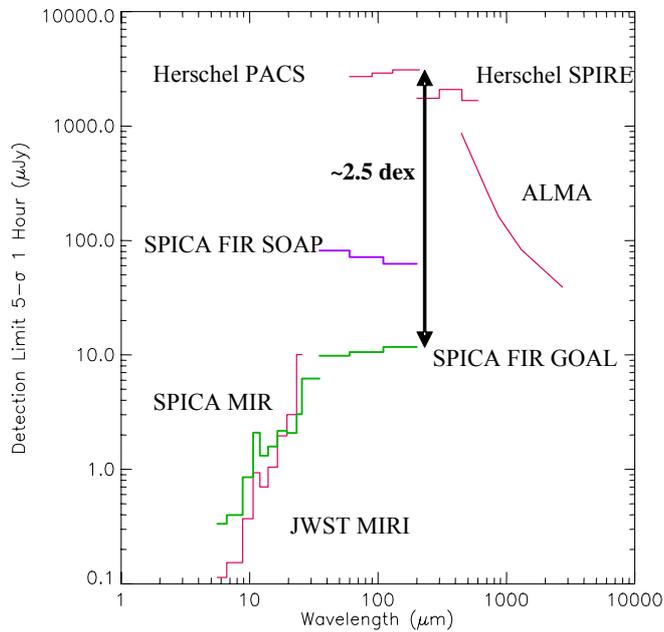
This situation will be improved in the coming decade with the advent of the Herschel Space Observatory<sup>6</sup> with an 80-K 3.5 m telescope operating from 57 to 700  $\mu\text{m}$  and the James Webb Space Telescope (JWST)<sup>7</sup> with a 45-K, 25-m<sup>2</sup> telescope operating from 0.6 to 28  $\mu\text{m}$  and diffraction limited at 2  $\mu\text{m}$  and beyond. Herschel and JWST will represent a leap in our ability to observe in the MIR/FIR and, with JWST at least, come close to the spatial resolution and sensitivity limits obtained in the optical and sub-mm bands. However, both have drawbacks in terms of advancing our full understanding of the MIR/FIR Universe: JWST does not cover wavelengths longer than 28  $\mu\text{m}$ ; Herschel only starts coverage at 57  $\mu\text{m}$  and, because of its relatively warm aperture, Herschel only gives a modest improvement in sensitivity compared to Spitzer, despite its superior imaging and spectroscopic capabilities.

To break through the sensitivity limitations of Herschel and the narrow wavelength coverage of JWST, the Japanese space agency (ISAS/JAXA) is proposing to build SPICA. This mission can be built within 10 years without major technical development, but will offer a breakthrough in sensitivity in the FIR region and will provide MIR capabilities to cover the MIR/FIR waveband within a single facility. SPICA will have a 3.5 m telescope actively cooled to below 5 K to remove all self emission, thus massively increasing the potential sensitivity in the FIR compared with Herschel. Furthermore, SPICA will employ mechanical coolers rather than cryogenics giving it a long lifetime whilst still allowing a large mirror size. The telescope will be monolithic, like Herschel, and will in principle have a much cleaner point spread function than a deployable segmented mirror, allowing diffraction-limited performance across the full wavelength range. To take advantage of this low-background environment the instrument suite proposed will have wide field MIR and FIR imaging, medium to high spectral resolution MIR and FIR spectroscopy and a MIR coronagraph designed for imaging and spectroscopy of young Jupiter-like planets around nearby stars.

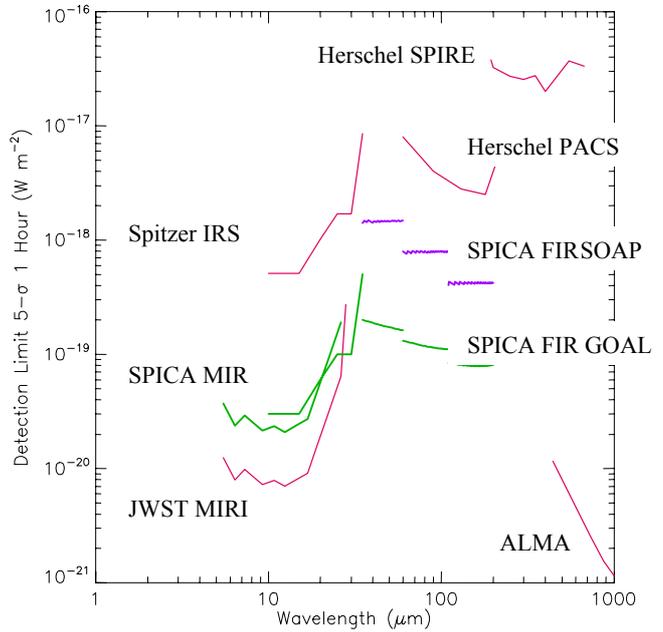
In order to place the potential performance of the SPICA mission in context, Figure 1 and figure 2 show the wavelength coverage and estimated point source sensitivities for photometric and spectroscopic observations that will be possible with SPICA. Also shown in the same figures are the sensitivities of the predecessor (Herschel) and complementary facilities such as JWST<sup>8</sup> and ALMA<sup>9</sup> that will be in operation in the same time. In preparing these figures we have used publicly available sensitivities for Herschel, JWST and ALMA and have calculated the SPICA sensitivities using the same model formulation as used for JWST<sup>8</sup> and Herschel<sup>10</sup>. For the MIR range (5-37  $\mu\text{m}$ ) we assume state of the art performance (SOAP) Si:Sb or Si:As detectors as used on Spitzer and JWST. For the 35-210  $\mu\text{m}$  wavelength range two possibilities are shown: SOAP performance based on a combination of Ge:Ga photoconductors and a development of the Herschel-PACS bolometer arrays; and Goal performance based on the development of Transition Edge Superconducting (TES) bolometer arrays based on devices used for the SCUBA-2 instrument on the JCMT<sup>11</sup> and development programmes ongoing in European institutes<sup>12</sup>.

It is clear from figures 1 and 2 that a huge gain in sensitivity over Herschel can be achieved with SPICA even with SOAP detectors, especially when combined with the proposed large fields of view. With a well structured detector development programme it will be possible to deliver detectors with a far better performance and a commensurate leap in detection sensitivity that will, for the first time, place FIR observations in the same league as the sub-mm and MIR. In the MIR SPICA will have virtually the same sensitivity as the JWST but over a wider wavelength range enabling it to provide complementary instrument capabilities in the form of a wide field

low/medium resolution ( $R \sim 200$ ) objective grism spectrometer, much higher spectral resolution ( $R \sim 30000$ ) to allow the gas dynamics of protoplanetary disks to be examined and an advanced coronagraph that will allow both imaging and spectroscopy of young gas giant planets in hundreds of nearby stars. In the next section we briefly describe the scientific breakthroughs that these major advances in MIR/FIR capability will make possible, in the rest of the paper we describe the mission, spacecraft and proposed instrument suite in more detail.



**Figure 1** - Predicted photometric performance of SPICA (green and purple) compared to predecessor and complementary facilities (red) given as point source sensitivities in  $\mu\text{W}$  for  $5\text{-}\sigma$  in 1 hour over the bands shown indicatively as horizontal lines. Note the  $2\frac{1}{2}$  orders of magnitude increase in FIR photometric sensitivity compared to PACS that will be achieved using goal sensitivity detectors on SPICA. The figures here are raw sensitivity only. The effects of confusion, and their mitigation, are discussed later.



**Figure 2** - Predicted spectroscopic performance of SPICA (green and purple) compared to predecessor and complementary facilities (red) given as single unresolved line sensitivity for a point source in  $\text{W m}^{-2}$  for  $5\text{-}\sigma$  in 1 hour. For ALMA 100 km/s resolution is assumed as this is appropriate for extra-galactic sources. The SPICA MIR sensitivities are scaled by telescope area from the JWST and Spitzer IRS values respectively.

## 2. Science with SPICA

### 2.1 The importance of the MIR/FIR

The 5-210  $\mu\text{m}$  spectral range is one of the richest windows of the electromagnetic spectrum emitted by astrophysical objects. It spans the wavelength range over which the largest proportion of the energy is emitted during the evolution of galaxies. It plays host to a variety of atomic/ionic forbidden transitions that, spanning a large range in excitation, ionization and density conditions, are among the best to trace gas excited from both stellar and planetary evolution processes as well as non-thermal processes. Radiation in the MIR/FIR, unlike the NIR, optical and UV light, is largely unaffected by the effects of dust extinction and includes many of the most prominent Poly-aromatic Hydride (PAH) and Silicate features and so can be used to trace star and planet formation as well as AGN activity in both the local and the very distant Universe. It is also the range in which warm molecular gas found in AGN tori, shocks, stellar envelopes, x-ray illuminated regions, outflows and dense protostellar cores can be studied through the transitions of CO, OH,  $\text{H}_2\text{O}$  and molecular hydrogen. As one moves to higher redshift, the UV/optical-NIR waveband shifts into the MIR/FIR, providing access to rest frame UV-optical spectroscopy which in turn traces the first phases of galaxy evolution through its main constituents: stars and accreting black holes.

### 2.2 Planetary system formation: from gas and dust to planets; from ices to oceans

The study of star formation in our own Galaxy has been revolutionised by spectroscopic observations in the MIR/FIR, as it is only in this waveband that the neutral gas chemistry and gas/grain processes within the highly obscured stellar nurseries can be observed. The spectrometers on Herschel are expected to make further strides in our understanding of star formation but, to date, there has been little or no direct study of the role of MIR/FIR

cooling lines in the formation of planetary systems, despite the obvious importance of, for instance, oxygen chemistry to the emergence of life. SPICA will have the sensitivity to detect the lines from species such as O, OH and H<sub>2</sub>O (both gas and ice) from proto-planetary gas disks in the early stages of planetary formation. In fact SPICA will have sufficient sensitivity to detect them in a volume sufficiently large to make the first unbiased study of the chemistry of disks around all spectral types of star. The huge increase in photometric sensitivity that SPICA will achieve in the FIR will also enable us to trace the presence of cool, dusty disks out to the furthest reaches of the Galaxy, answering directly questions over planetary formation as function of stellar type and age and providing a comprehensive inventory of stars with circumstellar debris disks that will be invaluable to future planet imaging facilities. In the nearest objects with circumstellar disks (such as Vega), SPICA's unique access to the FIR water ice features<sup>15</sup> will allow us to map the "snowline" for the first time (see figure 4), giving a critical insight into the role of water ice in the formation and evolution of planetary systems.

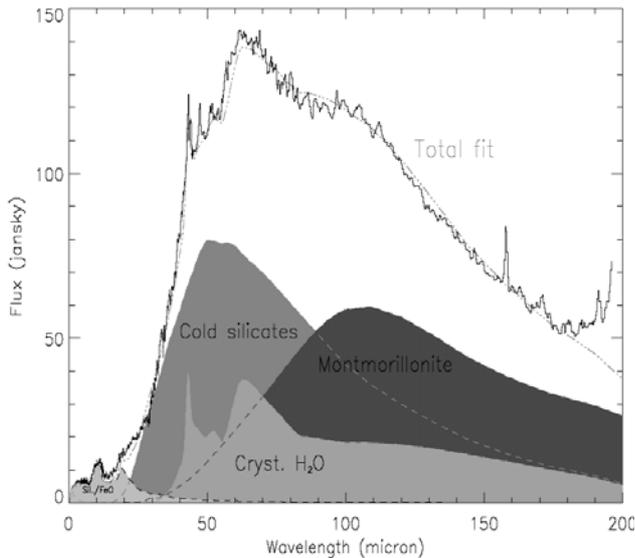
**Gas Disks:** Most young stars are surrounded by accretion disks which are the likely progenitors of planetary systems. The physical and chemical conditions in protoplanetary disks set the boundary conditions for planet formation and an understanding of the formation and evolution of protoplanetary disks will finally link star formation and planetary science. Our current view of these objects states that disks evolve from gas-rich structures where only ~1% of the total mass is in the form of dust grains, to optically thin debris disks almost completely devoid of gas. Although the dust is relatively easily detected from photometric observations in the 20-100  $\mu\text{m}$  range, very little is known about the gas phase. With the high sensitivity of SPICA over this wavelength region we will be able to detect the line emission from hundreds of proto-planetary gas disks, producing an extensive census of stellar and disk types that will give a critical insight into the conditions under which planets form and the conditions for life emerge. For the strongest lines in the 5-15  $\mu\text{m}$  range, and at spot wavelengths at 17 and 28  $\mu\text{m}$  to include all the H<sub>2</sub> ground state ortho and para rotation lines, SPICA will have a very high resolution spectrometer ( $R \sim 30\,000$ ) capable of resolving the Keplerian rotation in the line profiles and so characterising the physical and chemical parameters of gas disks as a function of radius.

**Dust in Circumstellar Disks:** The ability of FIR spectroscopy to determine the mineral makeup of dusty disks in young stars is illustrated by the ISO spectrum of HD142527 shown in figure 3. SPICA will be 100's of times more sensitive than ISO and so will see not only the young opaque objects like this, but will be able to trace the mineralogy of dust within disks at all stages of planetary system formation and, because of its full wavelength coverage, will probe both the cold and warm dust populations and emission features. SPICA will not only be able to determine the detailed mineralogy of the dust, but will also trace the variation in grain size distribution and temperature, which are both expected to evolve with disk age leading to a variation in the disk SED. SPICA's high photometric sensitivity will allow the detection of as little as  $10^{-4}$  Lunar mass of dust at ~100 K out to 10's of parsecs; this is approximately the mass of our own Zodiacal cloud. In summary, using the full capabilities of SPICA we will reveal for the first time the detailed processes that lead eventually to the formation of Earth-like planets.

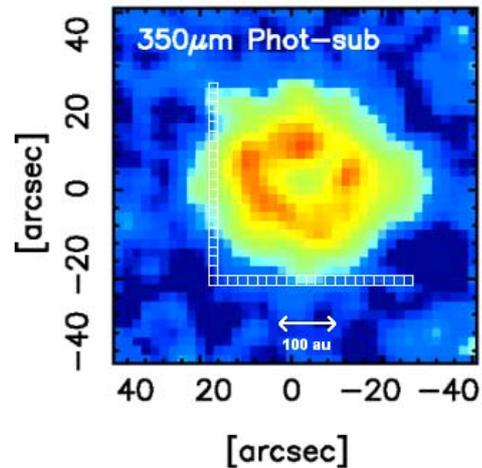
In nearby systems we will be able to image disks directly (see figure 4) to examine their structure. In the MIR/FIR we will be able to trace the variation in dust mineral content, size distribution and temperature and so, in a few examples, we will be able to directly trace the mineral content and grain size distribution as a function of radius to compare these, together with the spectra of comets, asteroids and TNOs within our own Solar system, with the 100s of spatially unresolved objects which SPICA will be able to observe. In more distant stars SPICA will be able to use MIR coronagraphy to image and take spectra of the inner parts of many more disks. It will also be able to take the spectrum of the dust across the full MIR/FIR waveband.

**Exo-planets:** The clean point spread function from SPICA's un-segmented high optical quality telescope will allow a coronagraph operating from ~3 to 27  $\mu\text{m}$  to directly image and, for the first time, take MIR spectra of young gas giant planets that are within 1 Gyr of formation looking for characteristic features of methane, water and ammonia that are tracers of pre-biotic activity. The ability of the coronagraph instrument to detect planets close to the host star will be greatly improved by extending the wavelength range to 3.5  $\mu\text{m}$ , as this allows coverage of the predicted 4  $\mu\text{m}$  emission feature<sup>17,18</sup>. We estimate that with a binary mask type coronagraph we will be able to achieve a contrast of  $10^{-6}$  at an inner working angle of  $5\lambda/D_{\text{tel}}$  – equivalent to 9 AU (~Saturn's orbit) at 5  $\mu\text{m}$  at 10 parsecs. At this wavelength we probe the younger end of the planet age range giving ~30 target stars within 10 parsecs.

**Dust formation and evolution:** SPICA will give us an unprecedented window into the key aspects of the dust lifecycle, from the role of stellar winds in the evolution of massive stars to the formation of dust around evolved stars, its processing and evolution in the ISM, dust destruction in supernova-generated shock waves and regions of massive star formation, through to the formation of proto-planetary disks and the dust seen in comets in our own Solar system as discussed in the previous section. The critical keys to unlocking our understanding of the dust evolutionary cycle are MIR/FIR spectroscopy and the FIR to sub-mm dust SED. The former gives direct information on the dust and ice chemical composition and the latter enables us to constrain the dust size distribution. Previous and near future facilities have either insufficient sensitivity, spatial resolution and/or did not have suitable spectrographic capabilities to really address the evolution of dust from its formation, its subsequent processing in the ISM and its re-emergence in dense star forming nebulae. SPICA will have the perfect combination of spatial and spectral resolution combined with high sensitivity to follow the evolution of dust from the creation of sub-micron particles in stellar atmospheres to the formation of planets.



**Figure 3:** The ISO spectrum of the young star HD 142527<sup>(13)</sup> showing the model components including the crystalline water ice features. Water ice can only be directly detected at these temperatures through the 44 and 63  $\mu\text{m}$  emission features. SPICA will be able to take the equivalent spectra of objects at flux levels of  $\sim 1$  mJy in one hour.

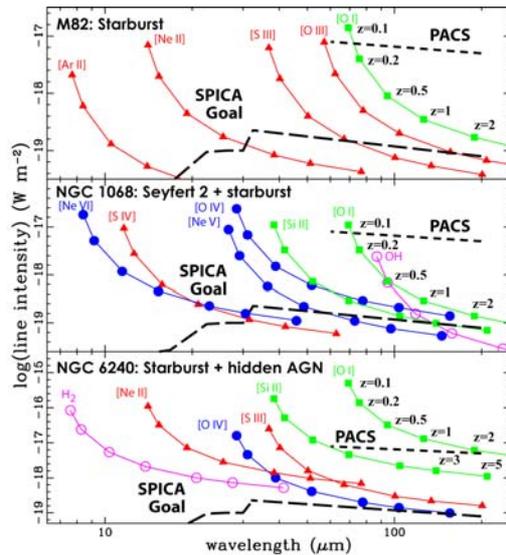


**Figure 4:** CSO SHARC II 350  $\mu\text{m}$  image of Vega<sup>16</sup> overplotted with the pixel scale of SPICA at 44 to 63  $\mu\text{m}$  (white squares). The spatial resolution is equivalent to  $\sim 23$  au at this distance allow us to detect the presence of the expected snow-free region of 42 AU in diameter

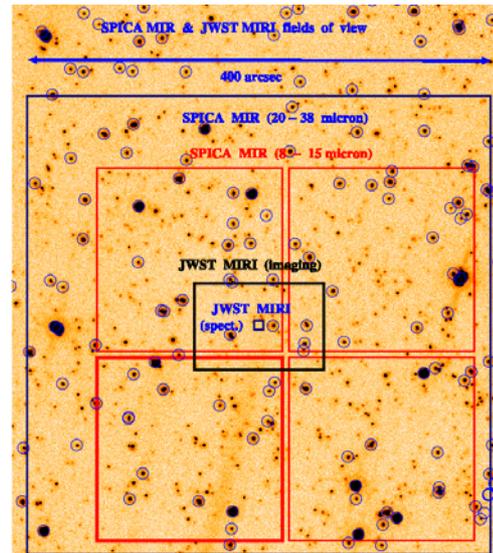
### 2.3 Galaxy Evolution: Near and Far

One of the most important discoveries of recent years has been of a population of massive, dusty star-forming galaxies that emit a significant fraction of their rest-frame bolometric luminosity in the FIR. These ultra-luminous and luminous infrared galaxies ((U)LIRGs) are relatively rare in the local Universe, but are much more common at high-redshift and their integrated luminosity is believed to account for a significant fraction of the Cosmic Infrared Background (CIRB)<sup>19</sup>. Their very existence challenges the expectations from cold dark matter (CDM) cosmological models and it has become clear that serious revisions are needed to either the initial mass function in high-redshift star formation<sup>20</sup>, and/or the star formation/AGN feedback processes in massive distant star-forming galaxies<sup>21</sup>.

SPICA offers a host of critical MIR/FIR diagnostics with which to detect and quantify the star formation and the black-hole accretion components at increasing redshift, thereby following back galaxy evolution. The unprecedented sensitivity, wavelength coverage and spatial resolution of the SPICA instruments will enable the study of the physical conditions in active nuclei, central starbursts, circumnuclear rings, disks, winds and halos in galactic laboratories in the local Universe, as well as comprehensively in sources in the distant Universe. The field of view of the SPICA instruments provides a considerable spatial multiplexing advantage over other facilities, crucial to studies of both the distant Universe, where source densities and clustering means that multiple sources can be viewed in a single footprint, and mapping of local, resolved, galaxies. Photometrically,



**Figure 5** - A plot of intensity vs. redshift of a selection of the key MIR/FIR emission lines visible with SPICA in three archetypical objects: M82 ( $L_{\text{FIR}} \sim 4 \times 10^{10} L_{\odot}$ ), NGC1068 ( $L_{\text{FIR}} \sim 2 \times 10^{11} L_{\odot}$ ) and NGC6240 ( $L_{\text{FIR}} \sim 7 \times 10^{11} L_{\odot}$ ) - in each panel the upper/lower dashed lines denote the  $5\sigma$ -1hr sensitivity of Herschel-PACS/SPICA respectively.



**Figure 6** - The FOV of 2 of the 4 channels of the SPICA MIR (for further technical details see figure 11) are shown overlaid on the GOODS-S (epoch 2) IRAC  $8 \mu\text{m}$  image. Ringed objects marked have fluxes of  $S(8 \mu\text{m}) > 20 \mu\text{Jy}$ , the  $5\sigma$ -1hr sensitivity limit for detection in SPICA MIR low-resolution spectroscopy. Shown for comparison are JWST MIRI FOVs: more than 100 sources can be observed in a single pointing of SPICA-MIR.

SPICA has the sensitivity to detect high-luminosity objects out to  $z > 4$  and Milky Way-type populations out to  $z \sim 1$ , whilst spectroscopically SPICA will characterise the excitation conditions and source physics of the distant dusty galaxy populations resolved in deep photometric Herschel surveys out to  $z > 3$ . In the remainder of this section we illustrate some of the key science questions that SPICA will enable us to address.

**The AGN-starburst connection at high-redshift:** By the launch of SPICA, deep cosmological surveys will have detected many thousands of faint and distant MIR/FIR galaxies, ranging from sources that dominate the source counts, to those much rarer and more exotic at the highest redshifts. Observational evidence suggests that the properties of the faint MIR/FIR population differ markedly from those of similarly luminous, local infrared galaxies<sup>22</sup>. SPICA will be able to use spectroscopy to constrain many of the key physical properties of the gas in these galaxies such as temperature, density and metallicity, as well as characterising the radiation field so distinguishing the AGN and starburst characteristics of these sources<sup>34</sup>. Figure 5 shows the predicted intensities of a selection of fine-structure emission lines that trace AGN, stellar ionization and PDR regimes, plotted as a function of redshift for three template local objects -- M82, NGC1068 and NGC 6240. Sensitivity is key to our ability to probe the distant Universe. With SOAP detectors ( $5\sigma$ -1hr few  $\times 10^{-18} \text{Wm}^{-2}$ ) it will be possible to detect the very brightest lines in the most exotic objects at high- $z$ ; in contrast, with goal sensitivity ( $5\sigma$ -1hr few  $\times 10^{-19} \text{Wm}^{-2}$ ), not only the brightest MIR line emission (e.g. [NeII]) will be visible from a significant fraction of the SCUBA and Spitzer populations ( $L_{\text{IR}} > 10^{11} L_{\odot}$ ) but also the fainter, but diagnostically important lines of, e.g. [OIV], [NeV] and the rotational transitions of  $\text{H}_2$ . SPICA will provide an important complement to ALMA which will trace the colder, molecular component of the ISM through observations of redshifted CO, HCN as well as [CI] and the longer-wavelength, rest-frame, FIR cooling lines as they are redshifted into the sub-mm waveband.

Observations of intermediate and high-redshift sources have shown that the co-moving star formation rate density in the Universe was at least a factor of 10 higher at a redshift of unity<sup>23,24</sup>, with more than half of the stars in the universe formed since  $z \sim 1$ . Previous space-borne spectrometers could barely detect starbursts such as M82 and brighter at intermediate/high redshift, however such objects make up a small fraction of galaxies. With SPICA, for the first time we will be able to characterise the ISM of the complete  $z \sim 1$  population, and so follow the evolution as a function of redshift of these ubiquitous galaxies. Galaxies such as our own Milky Way will be easily detectable in the continuum out to  $z \sim 1$ , whilst the MIR/FIR cooling lines will be visible out to  $z \sim 0.5$  where the universal SFR has increased by close to an order of magnitude.

**Deep continuum surveys:** The 70  $\mu\text{m}$  confusion limit for SPICA is  $\sim 50 \mu\text{Jy}$ , a depth that can be achieved with goal detector sensitivity in a few minutes with simultaneous measurements of similar sensitivity in the other two wavebands of the SPICA FIR instrument. Using current assumptions of the intensity of the CIRB at this wavelength, a 70  $\mu\text{m}$  confusion-limited survey would resolve more than 90% of the CIRB over 80% of the Hubble time ( $z \sim 2$ ), by detecting galaxies down to the star formation rate (SFR) regime where the UV meets the IR, i.e. around  $10 M_{\text{sol}}/\text{yr}^{25}$ . SPICA will also be sensitive to many galaxies as quiescent as our own ( $L_{\text{IR}} < 10^{10} L_{\text{sol}}$ ) out to  $z \sim 1$ , where the cosmic SFR peaks. A 70  $\mu\text{m}$  confusion-limited survey will not only provide the best measurement of the SFR in distant galaxies, without being affected by e.g. PAHs, but it will also complete the census on the growth of massive black holes by probing the missing population of dust-obscured, mostly Compton-thick, AGNs responsible for the unresolved peak of the X-ray background at 30 keV.

Both JWST MIRI and SPICA MIR will provide unprecedented angular resolution at MIR wavelengths,  $\sim 0.35$  arcsec at the shortest wavelengths, comparable to the angular resolution of HST/NICMOS in the near-IR. At this angular resolution it will be possible to study the morphology of both stellar emission in galaxies out to high  $z$ , as is now possible at shorter wavelengths with ultra-deep HST/NICMOS observations. Whilst deep-MIR extragalactic surveys will be performed by JWST MIRI prior to the launch of SPICA with better sensitivity at the short wavelengths (figure 1), with its much larger field of view, and multi-waveband observation capability over four MIR channels (5 to 38  $\mu\text{m}$ ), SPICA will not only map the sky more rapidly at  $> 15 \mu\text{m}$  (and at comparable speeds at shorter wavelengths; see figure 6), but also trace both the stellar (short MIR) and dust components (long MIR) in the same field.

**Punching through the traditional FIR confusion limit:** To resolve the objects making up the CIRB requires the spatial resolution of an interferometer such as FIRI, however, SPICA's combination of spectral imaging and large wavelength coverage provides a novel way to break the traditional confusion limit by adding wavelength as a third dimension to deep, cosmological surveys. Sources can be identified by emission from redshifted MIR/FIR cooling lines produced by star formation and AGN activity, rather than by the broad-band signatures of warm dust. Redshifts accurate enough for immediate follow-up ground-based CO observations (tracing the molecular gas component) can be determined from the detected lines, while line ratios provide a first indication of the nature of the source powering the FIR emission. In simulations of deep, blind spectral line surveys (rms noise level of 0.4 mJy 100hrs SOAP/10hrs GOAL at  $R \sim 1000$ ) with SPICA FIR<sup>26</sup> it was possible to detect and determine redshifts of sources brighter than 1 mJy at 120  $\mu\text{m}$  out to  $z \sim 2.5$  using the 5 strongest FIR cooling lines (including [OI] at 63  $\mu\text{m}$ ), thus probing to a factor of 5 below the 120  $\mu\text{m}$  continuum confusion limit. Sources at even higher redshift can be recovered by including MIR emission lines. Simulations suggest that sufficient sources/redshifts can be detected to differentiate between galaxy evolution models, and thus place tight constraints on the origin and history of our own and other galaxies, by observing areas as small as  $4' \times 4'$ .

**Cosmology using low-resolution spectroscopy:** The rich spectrum of PAH and silicate features found in the MIR of both local<sup>27</sup> and distant galaxies<sup>28</sup> provide an excellent means through which to undertake deep, blind surveys using low-resolution spectroscopy. In the deepest spectra obtained with Spitzer IRS<sup>29</sup>, these features can be seen out to  $z > 2$  in sources that are both optically and MIR-faint ( $S(24 \mu\text{m}) \sim 100 \mu\text{Jy}$ ) – low-medium resolution spectroscopy ( $R \sim 100-200$ ) thus provides an excellent means by which to determine source redshifts and measure the physical conditions in sources that would not be detectable using optical or near-IR spectrographs on even the largest ground-based telescopes. The low-resolution modes of the SPICA MIR and FIR instruments will enable large-scale spectroscopic surveys of very faint galaxies, down to  $\sim 20 \mu\text{Jy}$  and  $\sim 100 \mu\text{Jy}$ , respectively. A wide-field, low-resolution spectroscopic surveys with SPICA FIR over an area of the size of the COSMOS field (2 square degrees) with integration time of 1 hour per pointing would provide spectra of  $\sim 18000$  high- $z$  galaxies in 1800 hours, more than a factor of 50 more than the total number of high- $z$  galaxies observed with Spitzer IRS. Through measurements of the PAH features we will not only be able to directly estimate star formation rates<sup>30</sup>, but also to measure redshifts and so start to evaluate the co-moving space density of this dusty population and to constrain the bolometric luminosity function of luminous infrared galaxies.

## 2.4 Discovery Science

The science case we have presented in this section illustrates the concrete and definitive progress in our understanding of the Universe that SPICA will allow. However, as with all strides in increased sensitivity or the opening of new wavelength bands, new discoveries are certain once SPICA starts observing. Witness the discoveries made by IRAS, ISO and Spitzer of ULIRGS, crystalline silicates in dust in astrophysical sources and the presence of large amounts of dust in high redshift galaxies. With an increase in sensitivity of another two

orders of magnitude in the FIR we can expect some real progress in areas where even upper limits will constrain the boundaries of what are, today, rather speculative theories, to wit:

- Constraints on the emission of ground state H<sub>2</sub> emission from the first (population III) generation of stars
- The detection of biomarkers in the MIR spectra of exo-planets and/or the primordial material in proto-planetary disks
- The detection of H<sub>2</sub> haloes around galaxies in the local Universe
- With sufficient technical development of coronagraphic techniques: the imaging of any planets in the habitable zone in the nearest few stars
- The detection of the far infrared transitions of PAHs in the interstellar medium. The very large molecules thought to comprise the PAHs, and which give rise to the characteristic features in the NIR, have vibrational transitions in the FIR which are widespread and extremely weak<sup>31</sup>.
- The direct detection of dust formation in super novae in external galaxies and the determination of the origin of the large amounts of dust in high redshift galaxies

## 2.5 SPICA Science Requirements

Table 1 summarises the key functional and performance requirements of SPICA that are necessary to achieve the scientific programme described above.

Requirement	Comment
Spacecraft	3-axis stabilized with high pointing accuracy and stability in a thermally benign orbit which provides all sky access during the course of the mission
Telescope	As large a monolithic aperture possible compatible with the launcher fairing (3.5 m). Diffraction limited imaging at 5µm over a field of view sufficiently large to accommodate the proposed focal plane instruments. Point spread function sufficiently controlled to allow pupil mask coronagraphy in the MIR. Temperature < 5 K to remove self emission in the FIR. Stray-light controlled to a level to allow Zodiacal light limited sensitivity.
Focal plane instruments	Full wavelength coverage from 5 to 210 µm. Instruments required to provide Photometric camera function, a spectroscopic function and a coronagraph working in the MIR range. The instrument instantaneous field of view in both Photometer and Spectrometer modes to be as large as possible dictated by available spacecraft resources and technology. Sensitivity as close to background limited as possible.
Operations	Sufficient telemetry resources to allow uncompressed science data downlink (~30Gbytes/day) however a strong design driver exists for higher total capacities to allow downlink of raw detector samples.

**Table 1 – Summary of the key functional and performance requirements of SPICA**

## 3. SPICA Mission Profile

**Overview:** SPICA is part of the JAXA future science programme and is planned for launch in 2017. The mission follows on both scientifically and technically from the highly successful AKARI mission. High photometric sensitivity observations in the MIR/FIR are made possible thanks to the large 3.5m telescope which is actively cooled to below 5 K to effectively eliminate the non-astronomical photon noise. High spatial resolution is achieved thanks to the large aperture, monolithic primary mirror and the appropriate tolerances on the telescope and mirror surfaces to achieve diffraction limited performance at 5 µm.

**Launch/Orbit:** The launcher selected for SPICA is the JAXA H2A-202, which is compatible with the overall mission requirements. It launches from the Tanegashima Space Centre and can deliver a payload mass 2600kg to L2. The H2A-202 is the smallest variant of the H2A and therefore any growth in the payload mass can be accommodated by selecting a larger variant. The payload fairing on the launcher is ø4600 mm which is compatible with the 3.5 m telescope and associated thermal baffling. The orbit selected for SPICA is the S-E L2 libration point as it provides a benign and stable thermal environment required to cool the payload to < 5 K as well as a good instantaneous sky visibility.

**Space segment:** In order to meet the 2017 launch date and keep within the financial constraints of the participating agencies, the key elements of the space segment must rely either on existing technology or on modest development programmes. A nominal lifetime of five years is baselined, permitting the science

objectives to be met while allowing margin for exploratory science. Sufficient spacecraft consumables for attitude control etc. will be carried to permit ten years of operations in order to accommodate possible mission extensions.

**Ground segment:** The mission requires a minimum of five hours ground contact per day. The spacecraft and instruments will have sufficient autonomy functions to be tolerant to the loss of ground contact for extended periods of time. The mission will be greatly enhanced by the provision of additional ground contact time to increase the telemetry budget and this might be provided by the use of an ESA ground station.

### 3.1 Mission Level Critical Issues

**Spacecraft Thermal design:** This is the main critical technical issue facing the spacecraft. The main components of this challenge include: (1) the speed and efficiency of the cool down from ambient temperature at launch to the operational cryogenic temperatures and (2) the steady state temperatures and cooler heat loads during nominal operations. Regarding the cool down; the design must account for the actual heat lift capacities of the coolers at different operating temperatures and avoid any pinch points where static heat loads exceed the system cooling capacity. The scientific impact of a non-compliant thermal system will depend on the exact nature of the problem and ranges from degraded performance to loss of function. The thermal design of SPICA has been the subject of much detailed design and analysis<sup>32</sup> and is recognised within the SPICA project as requiring ongoing detailed analysis and verification to ensure that the requirements are met.

**AIT:** System-level test and calibration represents a significant challenge in the SPICA programme. The satellite will be launched warm, followed by a controlled cool down to the operating temperature during the initial phases of the mission. This approach brings several benefits, in particular making the spacecraft simpler and lighter. It does, however make it more difficult to simulate a flight-like environment for the spacecraft and payload. One particular challenge is verification of the various payload opto-mechanical budgets under a flight-like thermal and zero-g environment. To address this, an existing JAXA cryogenic test facility is to be upgraded to allow optical testing of the telescope assembly and instrument suite at the operating temperature of  $< 5$  K. Other aspects of the AIT programme are considered to be of a more routine nature.

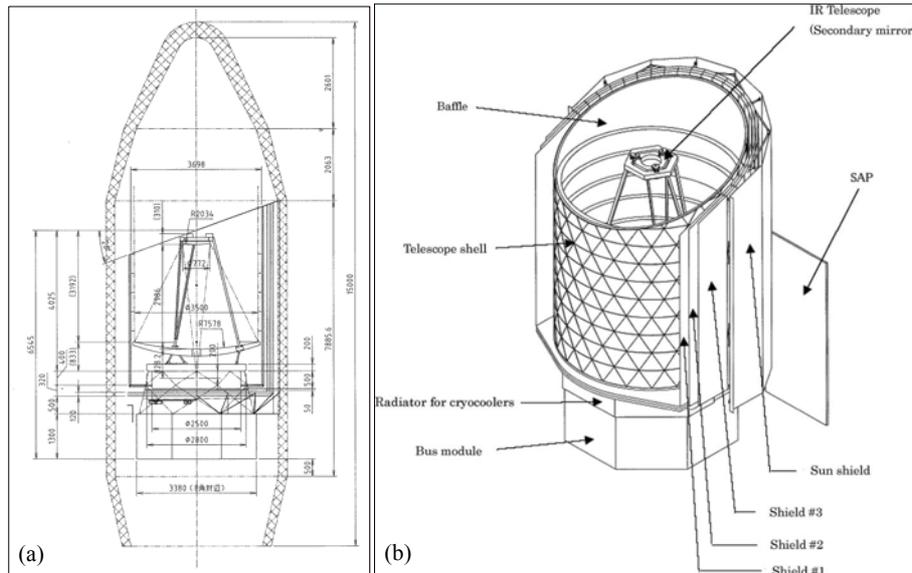
### 3.2 The SPICA Spacecraft

The layout of the SPICA spacecraft is schematically illustrated in figure 7. The main elements of the spacecraft include the Telescope Assembly (including instrument suite), sunshield/stray-light baffles, solar array and the spacecraft bus. The Telescope Assembly and instrument suite are cooled to  $< 5$  K with a system of mechanical cryocoolers and passive radiative cooling. This obviates the need for a heavy cryostat and a store of consumable liquid cryogens to provide cooling capacity and means that the total mass of the spacecraft is kept to 2600 kg including 180 kg of propellant. This approach also has the benefit of a warm launch for the payload which makes environmental vibration qualification simpler and opens up the possibility of employing engineering solutions for thermally and mechanically efficient cryogenic structures.

**Thermal subsystem:** Two separate Joule-Thompson (J-T) coolers are used for active cooling of the Telescope Assembly and instruments. The working fluid in both J-T coolers is pre-cooled by a 20-K Stirling cooler which allows an ultimate temperature of 1.7 K for the  $^3\text{He}$  J-T cooler and 4.5 K for the  $^4\text{He}$  J-T cooler.

The total 4.5 K heat load budget for the telescope assembly and instrument suite, including wiring and structural parasitics, radiation and dissipation within the instruments is 28 mW. The development cooler has demonstrated a maximum heat lift capacity of 50mW at 4.4 K (BOL performance) under representative flight conditions with a total primary power consumption of less than 160 W. The heat load budget for the 1.7 K stage of the  $^3\text{He}$  J-T cooler is 5 mW which is allocated to cooling the ESI detector subsystem. This cooler has demonstrated a heat lift of 16 mW @ 1.7 K (BOL performance). The high temperature heat load from the coolers is radiated to space with a system of loop heat pipes and radiator panels. The Stirling cooler is based on AKARI heritage and is under development to upgrade the heat lift capacity. Similarly, the J-T coolers are based on an upgrade of the JAXA JEM/SMILES cooler.

A critical aspect of the thermal design is the cool-down of the payload assembly from ambient temperatures at launch to the cryogenic operational temperatures. It is currently baselined to use a Stirling pre-cooler to ensure the payload elements reach a temperature below the J-T coolers pinch point before they are switched on. The current analysis indicates that the telescope will reach operational temperature 170 days after launch.



**Figure 7** - Architectural layout of the SPICA spacecraft; (a) stowed in the H2A fairing and (b) 3D cut-away view illustrating the thermal stages.

**Power:** The solar array and power control and distribution system are sized to provide 2.4kW (EOL) of primary power to the instruments and spacecraft subsystems. The main spacecraft bus provides unregulated power to the subsystems at voltages between 32.5 and 52V.

**CDMS:** The spacecraft CDMS uses a Spacewire bus for instrument and spacecraft subsystem interfaces. The mass memory has sufficient capacity to buffer all instrument and spacecraft telemetry for a 24 hour period. Sufficient Fault Detection, Isolation and Recovery functions are implemented by the spacecraft and instruments to safe the space segment in the event of an anomaly occurring when the spacecraft is not in direct communication with the MOC.

**AOCS:** The expected pointing error is less than 1" and the stability is less than 30 mas. Higher accuracy will be achieved for the coronagraph by using an internal tip-tilt mirror. Microvibrations from spacecraft mechanisms will be carefully managed and controlled during the satellite development.

### 3.3 SPICA Payload Overview

Four components of the SPICA payload are described in this section: the SPICA Telescope Assembly (STA); the FIR imaging spectrometer; the MIR imaging spectrometer and the MIR Coronagraph. The division of the functions of the different focal plane instruments is largely dictated by the available detector technologies, which in turn define the types of instrument design that are most appropriate. We concentrate on the elements that will be provided as part of the European hardware contribution to SPICA, namely the telescope and the FIR instrument (ESI), and give brief overviews of the other instruments. Indicative overall resources/interfaces for the instrument suite are summarised in Table 2.

Parameter	Baseline Requirement/specification	Comments
Instrument suite mass	< 200 kg total: 100 kg in Focal plane 100 kg within Service Module	This is the overall mass of the instruments including the warm electronics. It is subject to revision during the SPICA Phase-A study.
Cryogenic focal plane accommodation	ø2500mm x 500mm height	The telescope primary focus is at the centre of this volume.
Instrument thermal interfaces	5mW @ 1.7K 10mW @ 2.5K 15mW @ 4.5K	These values are based on EOL performance of the spacecraft coolers
Power for instrument analogue and digital electronics	200W	This is the unregulated power budget for all the instruments.
Telemetry budget	60GB daily TM	This corresponds to ~6Mbits/s averaged over a 24 hour period and includes the capacity provided by

		Cebreros which is a part of this proposal
Spacecraft Pointing	Absolute/reconstructed: 1" Stability: 0.03" rms over 60 seconds	The absolute pointing requirements are met after in-orbit calibration of the AOCS

**Table 2 – SPICA instrument interface and resource summary**

### 3.4 SPICA Telescope Assembly (STA)

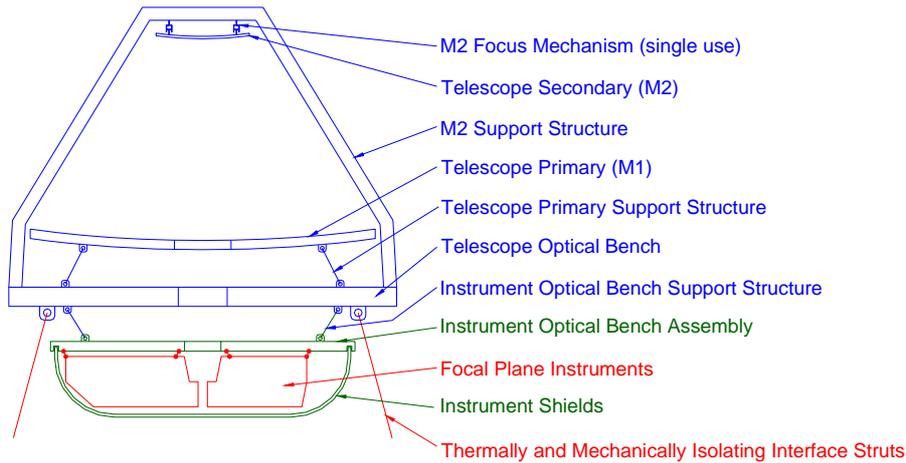
**Description and key characteristics:** The key features of the STA are a two-mirror on-axis design with a 3.5 m monolithic primary; an operating temperature below 5 K with a warm launch and Diffraction limited performance at 5 $\mu$ m over the central portion of the field of view

The STA comprises the primary and secondary mirrors, the support structure for the mirrors, the telescope optical bench and the structural support structure. A refocusing mechanism is incorporated as a part of the secondary mirror support to compensate for any errors introduced during launch and/or telescope cool-down. A schematic layout of these items is shown in figure 8. A list of the key specifications and requirements of the STA is given in table 3.

Parameter	Baseline specification / requirement	Comment
<b>Telescope configuration</b>	2-mirror on-axis Ritchey-Chrétien	Compact proven design with wide useable field of view with good image quality
<b>Operating temperature</b>	< 5 K	Obtained via passive radiative cooling (to deep space) + mechanical cooler after initial warm launch
<b>Collecting area</b>	3.5 m diameter	Pupil stop on secondary mirror M2. Clear aperture>3.4 m
<b>Effective focal length</b>	18m	Leads to focal ratio of ~5.2 with 11,46"/mm plate-scale at telescope focal plane (TFP)
<b>M1-M2 separation</b>	Baseline 3m	To maintain compactness of overall assembly; requires fast primary mirror
<b>Back focus</b>	Baseline 0.8m	Minimum distance to accommodate M1 support structure and focal plane instrument optical bench
<b>Field of View</b>	0.5° diameter	Large FOV to be shared by instruments taking separate parts of the field
<b>Image quality</b>	Diffraction limited at 5 $\mu$ m (at operating temperature)	Strehl ratio > 80% at 5 $\mu$ m this is equivalent to wave front error (WFE) < 350nm rms in central part of the accessible field of view Surface roughness requirement of < 20nmRMS
<b>Mirror Reflectivity</b>	> 97.5% above 30 $\mu$ m > 95% below 30 $\mu$ m	This includes particulate contamination effects
<b>Mechanical accommodation</b>	Mass < 700kg Static design load: 20 g First mode: >60Hz Volume: $\phi$ 3500mm cylinder with ~ 1:1 aspect ratio	These figures are the results obtained from ISAS assessment studies. The mass budget e excludes the mass of the instrument optical bench.
<b>Thermal</b>	Mirror temperature temporal stability: <0.25K/h Max temperature differential across primary: 0.5 K (TBC) Static heat load: ~ 13mW	The temperature stability requirement limits fluctuations of in-field background emission at long wavelength. The heat load budget and temperature differential requirement provides for thermally-induced local deformations degrading optical performance

**Table 3 – Key specifications/requirements of the Telescope Assembly**

The central 30' diameter of the full field of view is expected to be well corrected for aberrations. The overall 350 nm RMS WFE specification means that diffraction-limited imaging can be achieved with all the SPICA instruments, including the MIR instrument. The compact telescope design requires a relatively large central obscuration (5%) due to the relatively large M2 diameter. Additional secondary support struts will add to this entrance pupil obstruction which will cause about a 10% total loss in throughput. The baseline is to use four struts spaced at 90° for the M2 support structure. The sidelobes introduced into the PSF by this configuration are highly symmetric and permit the coronagraph to achieve high contrast ratios.



**Figure 8** –Schematic representation of the SPICA Telescope Assembly showing proposed ESA funded deliverables in blue, non-ESA deliverables in red with the responsibility for the Instrument Optical Bench Assembly in green.

**Telescope Current heritage and Technology Readiness Level:** The material baselined for the STA is silicon carbide; chosen for its excellent material properties of high specific strength, stiffness and thermal conductivity, low CTE, excellent cryogenic stability and the ability to be polished and coated with low emissivity metallic films. This material benefits from the established experience and design heritage in Europe for manufacturing silicon carbide cryogenic space optical elements. In particular the Astrium-France Herschel (3.5 m, 80 K, WFE < 6  $\mu\text{m}$ ), ALADIN (1.5 m, WFE < 150 nm) and GAIA (1.5 m x 0.5 m, WFE < 20 nm) telescopes as well as the ECM-Germany SPICA Breadboard mirror, NIRSPEC optical bench and an 800mm, 4 kg ultra-lightweight mirror produced under the ESA Future Technology programme. This European heritage is complemented within the Japanese SPICA consortium by the experience with the AKARI telescope and several JAXA sponsored SPICA telescope studies using sub-scale breadboard models which were used to assess characteristics such as machinability, residual porosity and roughness and surface form variations under cryogenic cycling as well as the optical performance of different silicon carbide materials: SiC 100, HB CeSiC or hybrid C/SiC and reaction sintered and NT-SiC.

### 3.5 FIR Imaging Spectrometer – ESI (European SPICA Instrument)

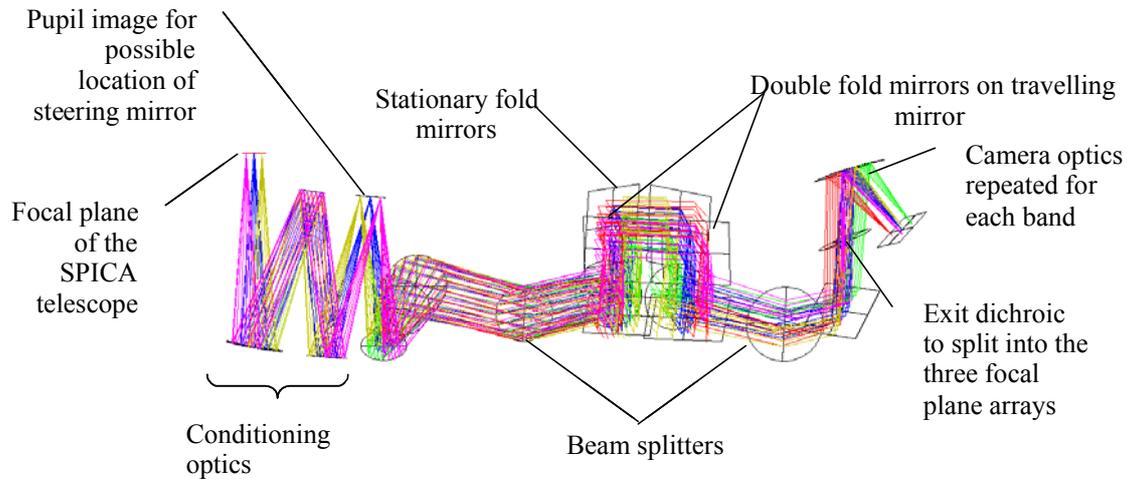
The baseline optical configuration of ESI is a Mach-Zehnder imaging Fourier Transform Spectrometer. The principal advantages of this type of spectrometer for ESI are the high mapping speed of the FTS due to spatial multiplexing, the ability to incorporate straightforwardly a photometric imaging mode and the operational flexibility to tailor the spectral resolution of the science programme. A grating spectrometer layout has been studied, but due to the limited field of view for the available number of pixels and the difficulty of incorporating a wide field photometer mode, this layout has not been pursued at this time. The principal performance characteristics of ESI, together with comments on scientific performance are outlined in table 4.

Parameter	Specification	Comments / tradeoffs
Instrument type	Imaging Fourier Transform Spectrometer	Allows for a broad-band photometry imaging mode as well as variable resolution imaging spectrometry
Wavelength range	30-210 $\mu\text{m}$ : Nominally divided into ~ 3-4 sub-bands.	Short wavelength limit defined by overlap with MIR instrument; long wavelength limit defined by the [NII] 206 $\mu\text{m}$ line. Division of the spectral range into the different bands depends on the final detector technology selection.
Instantaneous Field of View	2'x2'	Derived from a science requirement to image diffuse emissions and give a large spatial multiplexing advantage
Angular resolution	Diffraction limited performance above 40 $\mu\text{m}$	Places constraints on ESI optics. The telescope is specified to be diffraction limited at 5 $\mu\text{m}$ .
Pixel spacing	$F\lambda/2$ at the centre of each band	Critical image sampling
Parameter	Specification	Comments / tradeoffs

Spectral resolution	Photometric Imaging: $R < 10$ Low Resolution Mode: $R \sim 100$ Med. Resolution Mode: $R \sim 2000 @ 100\mu\text{m}$ High Resolution Mode: $R \sim 10000$ (goal $100\mu\text{m}$ )	To achieve the stated medium resolution requirement the required optical path difference is 140mm. It is expected that the goal resolution of 10 000 will be met within a restricted field of view
Sensitivity	Broad band photometry $< 50\mu\text{Jy}$ ( $5\sigma$ -1 hour) Medium resolution line sensitivity: $< 10 \times 10^{-20} \text{ W/m}^2$	Required detector NEP $\sim 1 \times 10^{-19} \text{ W}/\sqrt{\text{Hz}}$ .
Dynamic range	$> 1000$ within a single field of view	A supplementary requirement exists to not saturate the detectors in high spectral resolution on a bright source

**Table 4 – Key scientific performance characteristics of ESI**

A possible optical layout of the instrument is shown in figure 9. This layout incorporates a double fold mirror within the spectrometer mechanism which yields a folding factor of eight. An internal pupil diameter of  $\sim 30 \text{ mm}$  and a mirror scan range of  $\sim 85 \text{ mm}$  will achieve the goal spectral resolution of  $R = \sigma/\Delta\sigma = 10\,000$  based on  $\Delta\sigma = 1.2/2 \times \text{OPD}$  in the centre of the ESI band.



**Figure 9 – Possible ESI optical layout. Note: only one arm of the Mach-Zehnder FTS shown for clarity.**

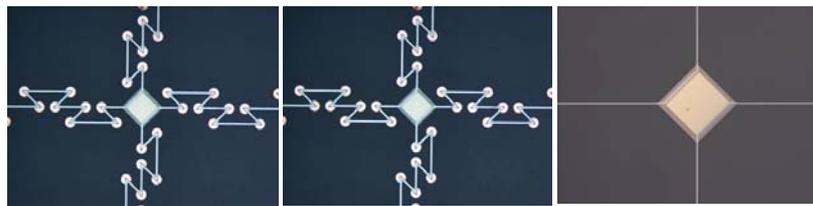
**Detectors for ESI:** The development of detector technology with suitable performance and architecture for ESI is a critical focus of the instrument development study. A summary of detector performance together with a list of architectural design features and operational constraints/considerations is given in table 5. In the sections that follow we discuss the development status of each of the detector options.

	<b>Option-A TES Bolometer</b>	<b>Option-B Photoconductor</b>
<b>Description</b>	<ul style="list-style-type: none"> <li>Band A: 30-57<math>\mu\text{m}</math>:</li> <li>Band B: 57-106 <math>\mu\text{m}</math></li> <li>Band C: 106-210 <math>\mu\text{m}</math></li> </ul>	<ul style="list-style-type: none"> <li>Band A: 27-37<math>\mu\text{m}</math>: monolithic Si:Sb BIB array</li> <li>Band B: 45-110<math>\mu\text{m}</math>: unstressed monolithic Ge:Ga</li> <li>Band C: 110-210<math>\mu\text{m}</math>: array: Stressed Ge:Ga</li> </ul>
<b>NEP</b>	$\sim 1 \times 10^{-19} \text{ W}/\sqrt{\text{Hz}}$	a few $\times 10^{-18} \text{ W}/\sqrt{\text{Hz}}$
<b>Pixel (array) size</b>	Pixel Pitch $\sim 2.5 \text{ mm}$ <ul style="list-style-type: none"> <li>Band A: 64x64 Px <math>\Rightarrow 160 \times 160 \text{ mm}</math></li> <li>Band B: 38x38 Px <math>\Rightarrow 95 \times 95 \text{ mm}</math></li> <li>Band C: 20x20Px <math>\Rightarrow 50 \times 50 \text{ mm}</math></li> </ul>	<ul style="list-style-type: none"> <li>Band A: 256x256 Px <math>\Rightarrow 12.8 \times 12.8 \text{ mm}</math></li> <li>Band B: 32x32 Px <math>\Rightarrow 115 \times 115 \text{ mm}</math></li> <li>Band C: 32x32 Px <math>\Rightarrow 115 \times 115 \text{ mm}</math></li> </ul>
<b>Readout</b>	Frequency Division Multiplexed. Series SQUID array cold amplification	Direct Injection (DI) circuit cold readout of photoelectric current.
<b>Wavelength Coverage</b>	30-210 $\mu\text{m}$	30-37 $\mu\text{m}$ + 45-206 $\mu\text{m}$ Poor performance over “missing octave”
<b>Dynamic range</b>	Fundamentally linked to NEP and limited to $\sim 10000 @ 1 \times 10^{-19} \text{ W}/\sqrt{\text{Hz}}$	Adjustable via switchable integrating capacitor and setting of the integration period.
<b>Linearity</b>	Good linearity with feedback to the readout SQUIDS	Ge:Ga detectors have multiple time constants which gives rise to frequency dependant detector response which needs sophisticated characterization and calibration
<b>Cosmic ray susceptibility</b>	Absorber has low cross section and detector recovers in several $\tau_c$	Relatively high cross-sectional area gives rise to high hit rate. Hits change responsivity but calibration restored with curing techniques.

	Option-A TES Bolometer	Option-B Photoconductor
<b>Time constant</b>	$\tau_c \sim 10$ ms	$\sim 10$ ms for DI
<b>Base Operating Temperature</b>	100-mK for detector elements	1.7–4.5K for detector elements.
<b>Heritage summary</b>	X-ray TES arrays and read-out electronics are under extensive development by SRON for XEUS and at JAXA (ISAS) for NEXT. IR TES detectors are used on the GBT and SCUBA-2	ESI detectors would be an evolution of the Herschel/PACS and SPITZER MIPS detectors. AKARI FIS uses Ge:Ga stressed and unstressed detectors.
<b>TRL</b>	4	5-6

**Table 5 – ESI Detector options – here TRL stands for technology readiness level**

**TES Bolometers:** TES bolometers require a base temperature in the order of 50-70mK to achieve the required NEP. This adds significantly to the complexity and mass of the instrument as it necessitates an instrument provided sub-K cooler. The justifications for retaining the design option of TES bolometers detectors with this extra level of complexity are that there are very good prospects for achieving the ESI detector goal NEP within the schedule constraints of SPICA with TES detectors, the spectral response of the TES gives good access to the wavelength band around 40 $\mu$ m where photoconductors perform poorly and the effects of cosmic ray hits and non-linear response are more benign for bolometers compared with photoconductors. A key disadvantage of bolometer detectors compared with photoconductors is the limited dynamic range. Despite this, the overall instrument dynamic range requirements can be met by employing other design elements to enable both faint and bright source observation. Prototype detectors adapted to FIR requirements have been fabricated and tested by SRON, Netherlands and Cardiff University, U.K. (figure 10). SRON have successfully tested a device at 205 mK and the results indicate that an NEP  $\sim 4 \times 10^{-19}$  W/ $\sqrt{\text{Hz}}$  would be obtained for a system operating at 100 mK.



**Figure 10 – Various prototype ESI detectors tested at SRON. The detector on the right demonstrated close to the goal performance.**

**Sub-K Cooler:** A hybrid  $^3\text{He}$  sorption cooler / Adiabatic Demagnetisation Refrigerator concept has been proposed for ESI which can provide continuous cooling power to the detector system below 100 mK. This system has the key advantage of being very compact and light-weight when compared with other systems. One of the key challenges of this architecture is limiting the heat rejected by the instrument cooler to the spacecraft cooler during recycle. CEA-SBT are currently carrying out a strategic development programme for such cooler systems for future space science experiments. The most recent tests have achieved a base temperature of 48 mK and successful regulation at 50 mK. ISAS also have flight heritage with ADR coolers and have achieved a bath temperature of 60mK in orbit.

**Photoconductors/Si-Bolometers:** The heritage for the photoconductor detector systems is based on direct experience gained from Herschel, AKARI and indirectly from SPITZER for the Si:Sb technology. The ESI consortium have successfully tested stressed Ge:Ga detectors suitable for ESI to gain information on the behaviour of the devices under very low stray-light conditions and under p+ irradiation. IMEC are currently developing a Direct Injection amplifier ASIC based on 0.35  $\mu\text{m}$  technology to be mounted directly on the photoconductor crystal suitable for use in ESI (i.e. very low dissipation/noise/dark current/well depth). It is expected that a prototype of this ASIC will be demonstrated during the summer of 2007.

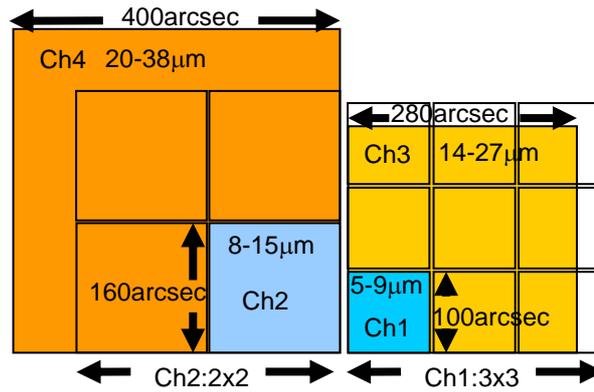
### 3.6 MIR Camera and Spectrometer

The SPICA MIR instrument has two basic modes; a wide-field imaging camera mode and a spectrometer mode. Si:As detectors are used for  $\lambda < 27 \mu\text{m}$  and Si:Sb detectors for  $\lambda > 26 \mu\text{m}$ . A tip-tilt mirror is shared with the Coronagraph to correct fine pointing errors.

**Camera mode:** The wide-field camera has four channels to cover the wavelength range (5 to 38  $\mu\text{m}$ ). The pixel scale of the imager ( $P_{\text{FOV}}$ ) is optimized for the shortest wavelength ( $\lambda_s$ ) at each channel, that is  $P_{\text{FOV}}=\lambda_s/D/3$ . The imager has several band pass filters and a grism for low resolution objective spectroscopy ( $R\sim 200$ ). Specifications of imager are given in table 6.

	Wavelength coverage	Pixel FOV	Total FOV	Detector
Channel 1	5 – 9 $\mu\text{m}$	0.098''	100'' (Optional:300'')	Si:As 1k x 1k (Optional:3x3mosaic)
Channel 2	8 – 15 $\mu\text{m}$	0.16''	160'' (Optional:320'')	Si:As 1k x 1k (Optional:2x2mosaic)
Channel 3	14 – 27 $\mu\text{m}$	0.28''	280''	Si:As 1k x 1k
Channel 4	20 – 38 $\mu\text{m}$	0.39''	400''	Si:Sb 1k x 1k

**Table 6: Specifications for the SPICA MIR Imager.**



**Figure 11 – Focal plane allocation for the SPICA MIR imager**

The longest wavelength of each channel must be shorter than the twice of the shortest wavelength to avoid higher order mixing while using the grism. Through the use of dichroic beam splitters, the FOV of Channel 1 is placed within that of Channel 3 and the FOV channel 2 is placed within the FOV of channel 4. A possible extension of the spatial coverage of the channel 1 and 2 FOVs is under assessment – this is indicated as an option in table 6 and figure 11.

**Spectrometer mode:** The MIR spectrometer is a long slit grating spectrometer with an Integral Field Unit. It has four channels to cover the wavelength range. In the shorter wavelength region ( $\lambda < 15 \mu\text{m}$ ) an immersion grating is used to achieve a spectral resolution of  $R\sim 30000$ . The slit width of each channel is tailored for the longest wavelength to avoid diffraction losses. The slit length and number slices is limited by the number of pixels and wavelength coverage at each band. Consideration is being given to the provision of high resolution Fabry-Perots ( $R\sim 30000$ ) to cover the 17 and 28  $\mu\text{m}$   $\text{H}_2$  lines. The specifications for the spectrometer are given in Table 7. The instrument will be developed by a Japanese / South Korean consortium.

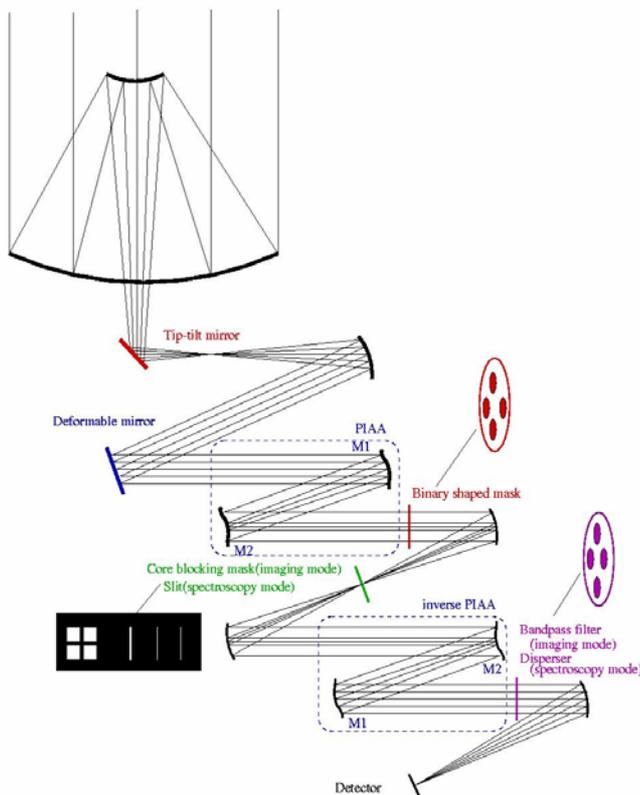
	Wavelength coverage	Slit width ( $=2xP_{\text{FOV}}$ )	Slit length	Number of slices	Resolving power	Detector
Channel 1	5 – 9 $\mu\text{m}$	0.53''	2.7''	3	30000	Si:As 1k x 1k
Channel 2	8 – 15 $\mu\text{m}$	0.88''	4.4''	3	30000	Si:As 1k x 1k
Channel 3	14 – 25 $\mu\text{m}$	1.5''	20''	5	6000	Si:As 1k x 1k
Channel 4	20 – 38 $\mu\text{m}$	2.2''	40''	8	3000	Si:Sb 1k x 1k

**Table 7: Specifications for the SPICA MIR Spectrometer**

### 3.7 MIR Coronagraph

A coronagraph instrument is proposed to carry out high contrast observations by suppressing the side lobes of the PSF of a bright source (i.e. a star) enabling observation of companion objects (e.g., planets and protoplanetary disks). The instrument wavelength range is 5-27  $\mu\text{m}$  with a possible extension to 3.5-5  $\mu\text{m}$ . The instrument has two observation modes; imaging and  $R\sim 200$  spectroscopy. The key specifications of the SPICA coronagraph and a schematic view of the optical layout are shown in figure 12. The contrast requirement is  $10^6$

to detect exo-planets directly in the MIR. Realising such high contrast is very challenging and we plan to develop two coronagraph methods. The first uses a binary shaped pupil coronagraph, which is regarded as the most simple and robust approach. Laboratory demonstrations of this method have already been successfully done with visible light and a  $10^7$  contrast has been confirmed in the experiment. The second method uses a hybrid technique of phase induced amplitude apodisation (PIAA) and binary shaped pupil. The advantage of the hybrid solution over the shaped pupil method is that the inner working angle (IWA) is reduced from  $\sim 3.5 \lambda/D$  to  $< 2 \lambda/D$ . Another advantage of the hybrid solution is an improvement in throughput from  $\sim 30\%$  for the shaped pupil only method to  $\sim 80\%$  for the hybrid method. Although the hybrid solution requires more complicated optics than the shaped pupil mask only method, a contrast better than  $10^6$  has been experimentally demonstrated with visible light. The instrument will use an adaptive tip-tilt mirror for fine pointing corrections and final correction of the telescope and instrument input optics WFE will be carried out with a deformable mirror at the input to the coronagraph. The Coronagraph is being developed by a Japanese consortium.



Parameter	Specification
Core wavelength ( $\lambda$ )	5–27 $\mu\text{m}$ (3.5-5 $\mu\text{m}$ optional extension)
Observation mode	Imaging, Spectroscopy
Coronagraphic mode	binary shaped pupil mask, hybrid(binary shaped pupil + PIAA)
Inner working angle (IWA)	$\sim 3.5 \times \lambda/D$ (binary shaped pupil mask mode) $< 2 \times \lambda/D^*$ (hybrid mode)
Throughput	$\sim 30\%$ (binary shaped pupil mask mode) $\sim 80\%$ (hybrid mode)
Outer working angle (OWA)	$\sim 30 \times \lambda/D^*$ (binary shaped pupil mask mode) $\sim 10 \times \lambda/D^*$ (hybrid mode)
Contrast between IWA and OWA	$\geq 10^6$
Detector	1k $\times$ 1k format Si:As array, 0.1"/pixel
Field of View	1' $\times$ 1'
Spatial resolution	$< \text{IWA}$
Spectral resolution	$\sim 200$

**Figure 12** - Schematic optical layout of the Coronagraph and key specifications

### 3.8 BLISS, a Proposed US-Built Grating Spectrometer.

A US group centred at JPL is proposing a moderate-resolution compact FIR to sub-mm grating spectrometer, BLISS, for SPICA<sup>33</sup>. BLISS is envisioned as a sensitive suite of grating spectrometers optimized for broadband spectroscopy of distant galaxies. This sensitive point-source capability will complement the core spectral imaging capability of ESI by extending the coverage to longer wavelengths and enhancing the sensitivity for point source spectroscopy. The combination will make SPICA an even more powerful probe of galaxy evolution in the early universe.

### 4. Summary and mission status

Following on from the highly successful Japanese infrared survey mission Akari, SPICA is planned for launch by JAXA on the H2A launcher in 2017 with a nominal five year mission lifetime orbiting at L2. It will feature a cold 3.5-m telescope with the telescope and instruments cooled to  $< 5 \text{ K}$  using a combination of high reliability mechanical coolers and passive radiative cooling; giving a long lifetime and low launch mass for the mission. At least three focal plane instruments are proposed: an MIR instrument with broadband imaging and spectrographic capabilities to be developed by a Japanese/South Korean consortium; an MIR coronagraph to be developed by Japanese institutes and a (nationally funded) FIR imaging spectrometer to be developed primarily in Europe/Canada with possible contributions from Japan and the USA (NASA). A fourth instrument giving high

sensitivity point source spectroscopy up to sub-mm wavelengths may also be provided by US groups. The combination of a cold telescope and sophisticated instruments will give astronomers unprecedented access to the mid to long wavelength infrared for many years to come and help solve some of the most difficult problems in astrophysics associated with the origin of planets and galaxies and the history of star formation in the Universe.

We are pleased to report in this paper that SPICA has been selected as one of the candidate missions for further study as part of the ESA Cosmic Vision process.

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